



# FY2015

# CONSOLIDATED PLAN ANNUAL REPORT FOR NORTH DAKOTA

July 1, 2015 – June 30, 2016

Prepared By

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ALTERNATIVE FORMATS ARE AVAILABLE UPON REQUEST

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## **CR-05 - Goals and Outcomes**

### **Progress the state has made in carrying out its strategic plan and its action plan. 91.520(a)**

This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year.

### **Investment of Available Resources**

The CDBG, HOME, and ESG funds were made available statewide. The Strategic Plan activities addressed with these funds during the program year are discussed below:

### **Affordable Housing**

1. Improve the quality and condition of the existing rental and owner-occupied housing stock, emphasizing rehabilitation of low income and elderly household units and units owned and rented by households in the 0-30 percent MFI income categories, minorities, and persons with disabilities. The goals are to use HOME funds to rehabilitate approximately 140 units over five years, and to use CDBG funds to rehabilitate or assist in rehabilitation of at least 242 units over five years.
2. For program year 2015, the HOME program assisted in the rehabilitation of 25 units, while 24 units were rehabilitated under the CDBG program.

Housing rehabilitation activities were affected by the lead-based paint requirements and increased labor costs. Also funding levels continue to limit the number of activities that could be undertaken. We expect to see fewer homes rehabilitated in the future.

1. Provide homeownership opportunities to approximately 400 households with HOME funds over five years. For the program year 2015, the HOME program assisted 52 families in buying a home.
2. Increase the supply of multi-family housing by creating approximately 105 units with HOME funds over the next five years. For the program year 2015, the HOME program assisted in creating 4 multi-family units.
3. Assist approximately 2,250 extremely low-income households over the next 5 years in obtaining affordable rental units through the HOME program with tenant-based rental assistance. For the program year 2015, the HOME program provided TBRA assistance to 287 households.

### **Homeless Assistance**

1. Renewed statewide Shelter Plus Care grant and will continue to submit renewal applications for these projects in 2016 and 2017.
2. Continued to set-aside 30% of the Emergency Solutions Grants program funds for homeless prevention and rapid re-housing activities. As of June 30, 2015, 100% of the \$532,402.93 were used for shelter operations, homeless prevention, rapid re-housing and HMIS activities.

3. Emergency Solutions Grant funds were awarded to 27 agencies for FY2015.
4. Continue to participate in the Governor's Interagency Council on Homelessness.
5. In the 2015-2017 biennium, the State allocated \$1,500,000 to the Department of Commerce for Homeless Assistance. We developed a grant very similar to the Emergency Solutions Grant with some exceptions. We added the flexibility of going above the Fair Market Rents due to the oil boom. Also added was the income limits up to 50% instead of 30%. With the addition of these funds, the Emergency Solutions Grant funding was used more for operations, although keeping within the 60% threshold for the operations component. Our state takes the stance of Housing First and will continue this philosophy.

### **Continuum of Care**

1. Continue to participate and provide technical assistance to the Statewide Continuum of Care process.
2. The Statewide Continuum continues to make permanent supportive housing its number one priority. The Shelter Plus Care applications continue to rank high due to their ability to provide permanent supportive housing to persons and families with disabilities as well as persons with HIV/AIDS.

**Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)**

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee’s program year goals.

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
Create additional transitional and PSH	Homeless	ESG: \$	Overnight/Emergency Shelter/Transitional Housing Beds added	Beds	10	5	50.00%			
Encourage communities to do strategic planning	Non-Housing Community Development	CDBG: \$	Other	Other	15	0	0.00%	3	0	0.00%
Encourage economic development opportunities	Non-Housing Community Development	CDBG: \$	Businesses assisted	Businesses Assisted	1250	121	9.68%	250	121	48.40%
Encourage public facilities and services	Non-Housing Community Development	CDBG: \$	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	65000	8317	12.80%	13000	8317	63.98%

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
Engage in funding disaster relief areas	Non-Housing Community Development	CDBG: \$1 / CDBG-DR: \$	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	0	0		1	0	0.00%
Engage in funding disaster relief areas	Non-Housing Community Development	CDBG: \$1 / CDBG-DR: \$	Public Facility or Infrastructure Activities for Low/Moderate Income Housing Benefit	Households Assisted	1	0	0.00%			
Enhance local public infrastructure	Non-Housing Community Development	CDBG: \$	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	6000	41809	696.82%	1200	41809	3,484.08%
Fund homeless prevention activities	Homeless	ESG: \$	Homelessness Prevention	Persons Assisted	4000	6239	155.98%	500	6239	1,247.80%
Fund homeownership opportunities for lower income	Affordable Housing	HOME: \$	Direct Financial Assistance to Homebuyers	Households Assisted	400	52	13.00%	80	52	65.00%

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
Preserve existing rental and owner housing stock	Affordable Housing	CDBG: \$ / HOME: \$	Rental units rehabilitated	Household Housing Unit	650	213	32.77%	130	213	163.85%
Preserve existing rental and owner housing stock	Affordable Housing	CDBG: \$ / HOME: \$	Homeowner Housing Rehabilitated	Household Housing Unit	140	25	17.86%	28	25	89.29%
Provide financial support to at risk of homeless	Homeless	HOME: \$44000 / ESG: \$	Tenant-based rental assistance / Rapid Rehousing	Households Assisted	2250	1847	82.09%	450	1847	410.44%
Provide funding to increase multifamily housing	Affordable Housing	HOME: \$	Rental units constructed	Household Housing Unit	105	17	16.19%	21	17	80.95%
Support emergency shelters and transitional housing	Homeless	HOME: \$330000 / ESG: \$	Homeless Person Overnight Shelter	Persons Assisted	68000	102436	150.64%	13000	102436	787.97%

Table 1 - Accomplishments – Program Year & Strategic Plan to Date

**Assess how the jurisdiction’s use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.**

CDBG Program Goals and Objectives

- Creation of permanent jobs for very low and low income persons
- Economic diversification
- Leveraging of private and local investment
- Benefit to the state’s economy
- Water and sewer activities which currently present a threat to health and safety
- Removal of architectural barriers which will permit access for the handicapped
- Direct benefit to a locality’s very low and low income persons
- Leveraging local and other available financial resources to finance the cost of the activity
- Meeting the health and safety needs of very low income persons by bringing the rehabilitated housing up to a minimum of the Section 8 Housing Quality Standards
- Making more affordable housing available for very low and low income persons

The NDDOC has identified 10 priority development areas to meet the greatest needs of residents in the participating cities and non-entitlement areas of North Dakota. It will invest its CDBG, HOME, ESG, and other resources to address needs in the following priority areas:

- Low-income renter households
- Low-income Owner households
- Elderly and Frail Elderly
- Persons with Disabilities
- Special Needs Populations
- Homelessness
- Water and Wastewater systems
- Streets and Sidewalks
- Disaster Relief
- Retaining and Expanding Existing Businesses

**2015 CDBG Allocation Priorities**

- Preserve 11 existing rental and owner housing stock
- Encourage 29 economic development opportunities
- Enhance 9 local public infrastructure
- Encourage 40 public facilities and services
- Encourage 9 communities to do strategic planning

## **2015 CDBG Results**

- Preserved 148 existing rental and owner housing stock
- Funded construction of 13 multi-family housing units
- Funded 3 economic development opportunities
- Enhanced 22 local public infrastructure projects
- Funded 7 public facilities projects
- Encourage communities to do strategic planning, unfortunately did not fund any projects.

**CR-10 - Racial and Ethnic composition of families assisted**

Describe the families assisted (including the racial and ethnic status of families assisted).

91.520(a)

	<b>CDBG</b>	<b>HOME</b>	<b>ESG</b>
White	208	685	4,181
Black or African American	0	163	1,392
Asian	0	30	37
American Indian or American Native	17	132	1,400
Native Hawaiian or Other Pacific Islander	0	3	15
<b>Total</b>	<b>225</b>	<b>1,013</b>	<b>7,025</b>
Hispanic	0	35	472
Not Hispanic	225	978	6,553

**Table 2 – Table of assistance to racial and ethnic populations by source of funds**

**Narrative**

## CR-15 - Resources and Investments 91.520(a)

### Identify the resources made available

Source of Funds	Source	Resources Made Available	Amount Expended During Program Year
CDBG	public - federal	5,309,246	3,927,175.15
HOME	public - federal	3,002,167	1,090,523.01
ESG	public - federal	445,841	445,841

**Table 3 - Resources Made Available**

### Narrative

#### Resources Made Available to the State

This section of the annual performance report requires a description of the Federal, State, and other resources made available to the state to support the activities in the state's Consolidated Plan's Strategic Plan during a designated program year. The program year is July 1, 2015 to June 30, 2016.

This report contains information specifically about the use of the Community Development Block Grant program (CDBG), HOME program, and the Emergency Solutions Grants (ESG) program. Separate detailed reports are attached in Part II for the CDBG, HOME and ESG programs which are the HUD programs covered in the FY 2015 Annual Action Plan.

In addition to the above programs, funding from a number of other public and private entities and programs were leveraged to make projects become a reality. These other resources include the Department of Energy's Weatherization program, Federal Home Loan Bank, Bremer Foundation, bonds, North Dakota Development Fund, Bank of North Dakota, USDA Rural Development, local banks and development corporations, city and county funds, Small Business Administration and the Economic Development Agency. These funding sources added approximately \$40 million towards the accomplishment of the State's Consolidated Plan goals and objectives.

### Identify the geographic distribution and location of investments

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description

**Table 4 – Identify the geographic distribution and location of investments**

### Narrative

The CDBG, HOME, and ESG funds were made available statewide.

## Leveraging

**Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.**

Funding from a number of other public and private entities and programs were leveraged to make projects become a reality. These other resources include the Department of Energy’s Weatherization program, Federal Home Loan Bank, Bremer Foundation, bonds, North Dakota Development Fund, Bank of North Dakota, USDA Rural Development, local banks and development corporations, city and county funds, Small Business Administration and the Economic Development Agency. These funding sources added approximately \$40 million towards the accomplishment of the State’s Consolidated Plan goals and objectives.

<b>Fiscal Year Summary – HOME Match</b>	
1. Excess match from prior Federal fiscal year	1,971,412
2. Match contributed during current Federal fiscal year	1,937,392
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	3,908,804
4. Match liability for current Federal fiscal year	405,872
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	3,502,931

**Table 5 – Fiscal Year Summary - HOME Match Report**

Match Contribution for the Federal Fiscal Year								
Project No. or Other ID	Date of Contribution	Cash (non-Federal sources)	Foregone Taxes, Fees, Charges	Appraised Land/Real Property	Required Infrastructure	Site Preparation, Construction Materials, Donated labor	Bond Financing	Total Match
9200	11/30/2015		1,500.00	162,000.00			36,112.50	4,815.00
9201	11/30/2015		500	109,000.00			24,412.50	3,255.00
9202	10/16/2015		0	144,000.00			35,347.75	4,320.00
9203	11/20/2015		3,000.00	88,000.00			20,187.50	2,550.00
8990	1/15/2015	0	1,000.00	166,000.00			40,502.75	4,950.00
9211	12/21/2015		1,100.00	161,000.00			39,250.75	4,797.00
9212	12/4/2015		0	160,000.00			39,275.50	4,800.00
9226	12/11/2015		1,000.00	109,000.00			25,650.00	3,240.00
9227	12/22/2015	*17,000.00	2,100.00	188,000.00			37,180.00	5,577.00
9228	1/15/2016		100	80,000.00			19,375.75	2,397.00
9229	2/16/2016		0	156,000.00			39,470.50	4,680.00
9230	2/12/2016		6,000.00	158,000.00			37,311.50	4,560.00
9231	2/4/2016		0	183,000.00			43,462.50	5,490.00
9242	3/1/2016		2,000.00	145,000.00			33,450.25	4,290.00
9261	2/12/2016		5,000.00	125,000.00			29,456.50	3,600.00
9262	3/8/2016		0	129,000.00			31,665.75	3,870.00
9077	7/29/2015		2,000.00	180,000.00			45,063.75	4,035.00
9079	7/10/2015	0	100	80,000.00			19,613.00	2,397.00
9080	7/9/2015	2000.00*	5,000.00	138,000.00			32,647.75	3,990.00
9075	7/9/2015		0	172,000.00			42,221.00	5,160.00
9106	7/30/2015		1,500.00	169,000.00			33,500.00	5,025.00
9107	8/10/2015		1,500.00	196,000.00			43,762.50	5,835.00
9108	7/31/2015		2,300.00	121,000.00			28,191.25	5,288.69
9119	8/21/2015		0	180,000.00			38,875.00	5,400.00
9120	8/27/2015		0	147,000.00			36,084.25	4,410.00
9121	8/24/2015		0	157,000.00			38,539.00	4,710.00
9156	9/15/2015		0	162,500.00			41,122.25	4,875.00
9164	10/30/2015		100	125,000.00			24,980.00	3,747.00
9165	9/18/2015		100	180,000.00			44,160.25	5,397.00

**Match Contribution for the Federal Fiscal Year**

<b>Project No. or Other ID</b>	<b>Date of Contribution</b>	<b>Cash (non-Federal sources)</b>	<b>Foregone Taxes, Fees, Charges</b>	<b>Appraised Land/Real Property</b>	<b>Required Infrastructure</b>	<b>Site Preparation, Construction Materials, Donated labor</b>	<b>Bond Financing</b>	<b>Total Match</b>
9166	9/17/2015		0	195,000.00			47,867.00	5,850.00
9167	10/21/2015		650	180,900.00			44,246.00	5,407.00
9168	10/28/2015		3,000.00	118,000.00			27,312.50	3,450.00
9169	9/21/2015		9,000.00	147,000.00			32,775.00	4,140.00
9170	10/30/2015		1,600.00	160,000.00			38,882.50	4,752.00
9171	9/25/2015		6,000.00	126,000.00			29,456.50	3,600.00
9172	9/24/2015	24,015.07	0	160,000.00			32,000.00	4,800.00
9173	7/29/2015		1,000.00	174,000.00			42,466.50	5,190.00
9174	10/12/2015		5,200.00	105,000.00			24,498.00	2,500.00

**HOME MBE/WBE report**

<b>Program Income</b> – Enter the program amounts for the reporting period				
<b>Balance on hand at beginning of reporting period</b> \$	<b>Amount received during reporting period</b> \$	<b>Total amount expended during reporting period</b> \$	<b>Amount expended for TBRA</b> \$	<b>Balance on hand at end of reporting period</b> \$
719,339.46	212,840.50	219,188.71	68,178.41	712,991.25

**Table 6 – Program Income**

<b>Minority Business Enterprises and Women Business Enterprises</b> – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period						
	<b>Total</b>	<b>Minority Business Enterprises</b>				<b>White Non-Hispanic</b>
		<b>Alaskan Native or American Indian</b>	<b>Asian or Pacific Islander</b>	<b>Black Non-Hispanic</b>	<b>Hispanic</b>	
<b>Contracts</b>						
Dollar Amount	0	0	0	0	0	0
Number	0	0	0	0	0	0
<b>Sub-Contracts</b>						
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0
	<b>Total</b>	<b>Women Business Enterprises</b>	<b>Male</b>			
<b>Contracts</b>						
Dollar Amount	0	0	0			
Number	0	0	0			
<b>Sub-Contracts</b>						
Number	0	0	0			
Dollar Amount	0	0	0			

**Table 7 – Minority Business and Women Business Enterprises**

<b>Minority Owners of Rental Property</b> – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted						
	Total	Minority Property Owners				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0

**Table 8 – Minority Owners of Rental Property**

<b>Relocation and Real Property Acquisition</b> – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition						
Parcels Acquired		0	0			
Businesses Displaced		0	0			
Nonprofit Organizations Displaced		0	0			
Households Temporarily Relocated, not Displaced		0	0			
Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Cost	0	0	0	0	0	0

**Table 9 – Relocation and Real Property Acquisition**

**CR-20 - Affordable Housing 91.520(b)**

**Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.**

	<b>One-Year Goal</b>	<b>Actual</b>
Number of Homeless households to be provided affordable housing units	450	238
Number of Non-Homeless households to be provided affordable housing units	129	428
Number of Special-Needs households to be provided affordable housing units	0	4
<b>Total</b>	<b>579</b>	<b>670</b>

**Table 10 – Number of Households**

	<b>One-Year Goal</b>	<b>Actual</b>
Number of households supported through Rental Assistance	450	287
Number of households supported through The Production of New Units	21	17
Number of households supported through Rehab of Existing Units	28	237
Number of households supported through Acquisition of Existing Units	80	52
<b>Total</b>	<b>579</b>	<b>593</b>

**Table 11 – Number of Households Supported**

**Discuss the difference between goals and outcomes and problems encountered in meeting these goals.**

In the HOME Program, our primary subrecipient that facilitates Homebuyer assistance, has decided to return HOME funding. Therefore, we anticipate our Homebuyer acquisitions to decline in the years to come.

**Discuss how these outcomes will impact future annual action plans.**

Our future action plans will reflect the expected changes to the Homebuyer assistance program, and our numbers will decrease significantly.

**Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.**

<b>Number of Persons Served</b>	<b>CDBG Actual</b>	<b>HOME Actual</b>
Extremely Low-income	54	260
Low-income	45	110
Moderate-income	54	62
<b>Total</b>	<b>153</b>	<b>432</b>

**Table 12 – Number of Persons Served**

**Narrative Information**

## **CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)**

**Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:**

### **Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs**

The State of North Dakota's method of distribution provides funding to engage homeless individuals and families living on the street (Street Outreach); improve the number and quality of emergency shelters for homeless individuals and families (Shelter Renovation); help operate these shelters through eligible activities such as maintenance, rent, repair, security, fuel, equipment, insurance, utilities, food, furnishings, and supplies (Shelter Operations); provide essential services to shelter residents (Essential Services); Rapid rehouse homeless individuals and families (Rapid Re-Housing); and prevent families and individuals from becoming homeless (Homeless Prevention). Communities of 2,500 more population have been targeted since those are the communities experiencing the greatest need to provide services and facilities for the assistance to the homeless and potentially homeless.

### **Addressing the emergency shelter and transitional housing needs of homeless persons**

In 2015, 50% of the counted homeless population was sheltered throughout the state of North Dakota. 14% of the counted homeless population was sheltered through transitional housing.

### **Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs**

North Dakota Department of Corrections and Rehabilitation (DOCR) recently published a new web tool called the "Barriers to Housing Assessment" that case managers/case workers can use to assist clients in identifying opportunities and barriers to stable and affordable housing. The tool consists of about 37 questions with some subcomponents that are used to gather a broad array of information. Based upon the response to the questions, a report is generated which points the case manager and client towards opportunities (things such as service providers, information resources providers and action steps) and barriers (things that will be prohibitive of accessing services or impediment such as having to register as a sex offender, being prohibited from HUD funded housing due to a conviction for drug manufacturing).

The North Dakota Coalition for Homeless People (NDCHP) works with sub-grantees including Salvation Army and Community Action to administer the Supportive Services for Veterans Families program. The program provides eligible Veteran families with outreach, case management, and assistance in obtaining VA and other

benefits which may include: health care services, daily living services, personal financial planning, transportation services, fiduciary and payee services, legal services, child care services, and housing counseling. In addition, Veteran families may qualify for assistance with time-limited payments to third parties for rent, utilities, moving expenses and licensed child care.

Statewide, programs and initiatives undertaken include:

- Department of Human Services (DHS) has implemented an Integrated Dual Disorder Treatment (IDDT) model in three regions and is currently expanding this program to the remaining regions;
- Improved discharge planning (ex. jails, hospitals) to help prevent people from becoming homeless;
- Improved relationships with healthcare providers and correctional institutions to offer greater levels of housing resource information to minimize discharge to the streets; and,
- A Transitional Jobs program was created at Southeast Human Services, in addition to more homeless case management services.

DHS provides case management services to the homeless through the regional Human Service Centers. As part of the intensive case management activities, PATH Coordinators go directly to homeless shelters, and other locations in communities to assist homeless individuals with obtaining services including housing.

**Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again**

Both the North Dakota Homeless Grant (NDHG) and Supportive Services for Veteran Families (SSVF) programs have prevention and rapid rehousing components that provide temporary financial assistance and case management. Additionally, the SSVF program employs a statewide outreach specialist with a focus on tribal areas. Project Service Connect has become an annual event in communities around the state. Homeless/Hunger Awareness Week activities have also been initiated.

NDCHP is working with stakeholders throughout the state to develop the Coordinated Assessment Referral and Evaluation System (CARES) which will provide a uniform assessment and referral system, but will also become a useful tool in assessing housing and service gaps within the homeless response system.

## **CR-30 - Public Housing 91.220(h); 91.320(j)**

### **Actions taken to address the needs of public housing**

For FY 2015, we were not able to address the needs of any specific public housing agency. We were approached by one public housing authority regarding possible funding for a rehabilitation project. The scope of this project was too big and did not fit within our state plan so there was no action taken.

### **Actions taken to encourage public housing residents to become more involved in management and participate in homeownership**

For FY 2015, we did not work with any public housing residents to become more involved in management and participate in homeownership.

### **Actions taken to provide assistance to troubled PHAs**

No actions were taken because we're not aware of any troubled PHAs in North Dakota.

### **CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)**

**Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)**

No specific actions were planned for this program year.

### **Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)**

The major obstacle to meeting underserved needs is the small amount of funds the state receives through the CDBG, HOME, and ESG programs. The needs far exceed the ability of these programs to make any significant impact, which is why the state continues to fund the same type of housing and non-housing activities each year. Housing rehabilitation continues to be a high priority need, but the interest in doing more rehabilitation is severely hampered by the lead-based paint requirements. Costs to investigate and mitigate lead-based paint are very high and the lack of funds means fewer opportunities for rehabilitation. Since there is nothing we can do to change either of these problems, no actions are planned.

### **Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)**

During this program year we continued working with the North Dakota State Health Department and the University of North Dakota to provide training for lead-based paint risk assessors. In addition, we saw more coordination with the Community Action Agencies to increase the amount of lead-based paint testing activities.

### **Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)**

We will create 11 jobs with CDBG funds, encourage referrals of low-income persons and families to the Self-Reliance program (although no data can be obtained showing referrals because of confidentiality), and, as reflected in our affordable housing goals, to target HOME and CDBG funds to low-income households.

### **Actions taken to develop institutional structure. 91.220(k); 91.320(j)**

No specific actions were planned for this program year.

### **Actions taken to enhance coordination between public and private housing and social service**

**agencies. 91.220(k); 91.320(j)**

The DCS and ND Housing Finance Agency (NDHFA) continued to work closely with one another to cosponsor and develop the state's annual housing conference. This involves other state, nonprofit, and Federal agencies as well. The NDHFA continues to effectively administer HOME funds provided from the DCS for its DECA program.

The DCS also continued to work closely with Community Action Agencies, entitlement communities, CHDO's and Regional Planning Councils in the implementation and administration of the HOME and CDBG programs. The ESG program worked closely with cities and emergency shelters to provide services to the homeless. The program also worked closely with the Homeless Coalition on the continuum of care plan and the development of the Homeless Management Information System.

The DCS continued to be involved with the Bank of North Dakota, the Dakota Certified Development Corporation, the North Dakota Department of Economic Development and Finance, the U.S. Department of Agriculture, and the U.S. Small Business Administration in a "One Stop Capital Center." This collaboration assists businesses in identifying and accessing funds from over 20 financing programs.

**Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)**

The DCS has compiled a list of Impediments to Fair Housing Choice and Suggested Actions that are included in the 2015 State of North Dakota Analysis of Impediments to Fair Housing Choice. Please see the impediments below referencing the actions, objections and results of this analysis.

The State of North Dakota, specifically the North Dakota Department of Labor and Human Rights, will continue to insure the enforcement of the fair housing law and provide fair housing education and outreach in cities throughout the state by utilizing promotional items such as fair housing and human rights brochures. The items provide specific information on what fair housing is, the law, and how the Department of Labor and Human Rights handles specific housing complaints. They also provide an overview of the Department of Labor and Human Rights' relationship with HUD and how the state's laws are substantially equivalent to the Federal Fair Housing Law.

In addition, the North Dakota Department of Commerce has partnered with High Plains Fair Housing Center. The Center assists people who believe they have experienced discrimination while attempting to rent or purchase housing, provides community education to promote fair housing, and conducts preliminary investigations of potential housing discrimination. High Plains planned to conduct some testing throughout the state to seek out instances of discrimination. High Plains also planned to provide targeted training and follow up testing to ensure that there are no further instances of discrimination. Moreover, High Plains will also review policies and practices in the state of North Dakota to identify instances of discrimination particularly in the area of disparate impact-such as translation barriers.

High Plains Fair Housing Center (HPFHC) conducted training events throughout the state to educate

professionals on discrimination in the housing industry. Some of these educational activities included the following topics: disability, race, familial status, legal prohibitions on discrimination against families with children, and tester training. Between the dates of December 2015 - March 2016 HPFHC conducted 11 events with 184 persons in attendance. Additionally, between the dates of March 2016 – June 30, 2016 HPFHC conducted 20 events with 274 persons in attendance.

High Plains Fair Housing Center (HPFHC) also conducted follow up testing throughout the state to identify housing discrimination. The testing took place in 7 cities with 8 persons conducting the tests. Classes that were tested included: disability, race, and the design and construction of the units. There were a total of 32 witnesses interviewed.

The DCS has also partnered with the Native American Development Corporation (NADC) to help overcome identified barriers to financial services in Native Communities including increased access to credit, capital, and financial services. The NADC hosted a homebuyer education workshop at American Bank Center in Bismarck, ND on October 2, 2015. They had 6 persons in attendance. Another homebuyer workshop is scheduled for October 7, 2016 at United Tribes Technical College in Bismarck, ND. This location is anticipated to increase convenience and participation.

NADC hopes to provide one-on-one counseling in the future once funds are obtained to compensate a salaried position. NADC has applied for two major grants allowing them to launch one-on-one counseling for both financial and homeownership counseling services as well as credit score classes.

## **IMPEDIMENTS TO FAIR HOUSING CHOICE AND SUGGESTED ACTIONS**

### **Private Sector Impediments, Suggested Actions, and Measurable Objectives**

***Impediment 1: Discrimination based on disability, familial status, and race.*** This impediment was identified through review of complaints lodged with HUD and the North Dakota Department of Labor and Human Rights (DOLHR), and in consultation with the High Plains Fair Housing Center. Discrimination based on familial status was second only to discrimination disability-based discrimination as the most common allegation in complaints lodged with HUD, and was the third most common allegation in complaints lodged with the DOLHR. Discrimination based on race was the third most commonly cited motivation for discrimination among complaints lodged with HUD, and was second most common among DOLHR complaints. In addition, a representative of the High Plains Fair Housing Center noted that the complaints that the organizations receives reveal “continuing evidence of discrimination, particularly against persons with disabilities, Native Americans, New Americans, and families with children.”

- ***Action 1.1:*** Partner with the High Plains Fair Housing Center (HPFHC) and other non-profit organizations to conduct outreach and education to professionals in the housing industry on the subject of disability, familial status, and legal prohibitions on discrimination against families with children.
  - ***Measurable Objective 1.1:*** The number of outreach and education activities conducted by the HPFHC and other organizations.
  - ***Result:*** The HPFHC conducted 31 events in FY2015 with 454 total persons in attendance. The Native American Development Council (NADC) conducted one event with 6 persons in attendance.

- *Action 1.2:* Partner with the DOLHR to conduct education activities to professionals in the housing industry on the subject of disability, familial status, and legal prohibitions on discrimination against families with children.
  - *Measurable Objective 1.2:* The number of education activities conducted.
  - *Result 1.2:* 19 education activities were conducted to professionals in the housing industry on the subject of disability, familial status, and legal prohibitions on discrimination against families with children in FY2015.
  
- *Action 1.3:* Partner with the HPFHC and other non-profit organizations to conduct outreach and education to professionals in the housing industry on the subject of disability, familial status, and racial forms of discrimination.
  - *Measurable Objective 1.3:* The number of outreach and education activities conducted.
  - *Result 1.3:* The HPFHC conducted 31 events in FY2015 with 454 total persons in attendance. The Native American Development Council (NADC) conducted one event with 6 persons in attendance.
  
- *Action 1.4:* Partner with the DOLHR to conduct education activities to professionals in the housing industry on the subject of disability, familial status, and racial forms of discrimination.
  - *Measurable Objective 1.4:* The number of education activities conducted
  - *Result 1.4:* 19 education activities were conducted to professionals in the housing industry on the subject of disability, familial status, and racial forms of discrimination in FY2015.

**Impediment 2: Failure to make reasonable accommodation.** This impediment was identified through review of complaints lodged with HUD and the North Dakota Department of Labor and Human Rights (DOLHR), as well as the results of the 2015 North Dakota Fair Housing Survey, and in consultation with the High Plains Fair Housing Center. Disability-based complaints were the most common complaints that HUD received from residents of the state’s non-entitlement areas from 2004 through 2014, and accounted for more than half of all complaints lodged with the Department of Labor and Human Rights. Failure to make reasonable accommodation, a discriminatory issue that uniquely impacts residents with disabilities, was the second most commonly alleged discriminatory action in HUD and DOLHR complaints.

In addition, though respondents to the 2015 Fair Housing Survey were largely unaware of questionable practices or barriers to fair housing choice in the private or public sectors, over one in ten respondents were aware of issues in the housing construction or accessible housing design fields, and those who provided additional commentary on this question maintained that neglect of ADA requirements in new construction was relatively common. Similarly, several of those who provided commentary on challenges in the public sector noted a lack of tax incentives to promote accessible development, as well as a failure on the part of construction companies to incorporate such elements in the design and construction of new units.

Finally, a representative of the High Plains Fair Housing Center noted that legal requirements pertaining to design and construction are not well understood, and that “requests for reasonable accommodation are frequently resisted.”

- *Action 2.1:* Partner with the HPFHC to conduct tests on selected newly constructed housing units and apartment complexes.
  - *Measurable Objective 2.1:* The number of tests conducted and the results of those tests conducted.
  - *Result 2.1:* HPFHC conducted tests on 7 locations in 3 different cities. None of these buildings were less than a year of occupancy, but most of them were less than ten years old. There were some small design and construction issues uncovered but none that were egregious and needed a

complaint filed. HPFHC did reach out to the builders to point out some of the minor concerns and continue to identify new developments.

- *Action 2.2:* Partner with the HPFHC, other non-profit organizations, and local ADA coordinators to conduct outreach and education to professionals in the housing construction industry on the subject of accessibility and reasonable accommodation.
  - *Measurable Objective 2.2:* The number of outreach and education activities conducted by these entities.
  - *Result 2.2:* The 1988 Amendments to the Federal Fair Housing Act added the protection for disability including the provisions of Reasonable Accommodation and Reasonable Modification as well as design and construction elements. Every High Plains education and outreach activity includes material on all of those topics. Additionally, High Plains sent 27 letters to developers around the state advising them of the Design and Construction requirements under the federal fair housing act.
- *Action 2.3:* Partner with the DOLHR to conduct education activities to professionals in the housing construction industry on the subject of accessibility and reasonable accommodation.
  - *Measurable Objective 2.3:* The number of education activities conducted by these entities.
  - *Result 2.3:* 19 education activities were conducted to professionals in the housing construction industry on the subject of accessibility and reasonable accommodation in FY2015.

***Impediment 3: Discriminatory advertising.*** This impediment was identified through review of complaint data filed with the North Dakota Department of Labor and Human Rights. According to those data, complaints citing discriminatory advertising were the fourth most common among all complaints and complaints considered to have cause. More than a third of complaints that were settled or resolved, or that ended in a charge of discrimination, cited discriminatory advertising as among the discriminatory actions that housing providers had taken against them.

- *Action 3.1:* Partner with the HPFHC to conduct periodic reviews of rental housing advertisements in a variety of media (i.e., Craigslist, newspapers, etc.). Refer any discriminatory advertisements to the Department of Labor and Human Rights for investigation.
  - *Measurable Objective 3.1:* The number of advertisements reviewed and discriminatory advertisements identified and referred reported by the HPFHC.
  - *Result 3.1:* High Plains does monthly reviews of rental advertisements in Grand Forks, Fargo, Bismarck, and Minot. High Plains reviews listings in local newspapers and on Craigslist. High Plains has not found any discriminatory advertisements.
- *Action 3.2:* Initiate or enhance public outreach, through partnership with the High Plains Fair Housing Center and through the state's online/media presence, to identify examples of discriminatory advertising and encourage state residents to report such advertising when they see it.
  - *Measurable Objective 3.2:* Number of outreach and education activities taken, the number of reported instances of discriminatory advertising reported by the HPFHC.
  - *Result 3.2:* None of the trainings were specifically about advertising as with the other topics. HPFHC covers it in every training and workshop.

**Impediment 4: American Indian and Hispanic home loan applicants tend to have higher rates of denials than white and non-Hispanic applicants.** This impediment was identified through review of data gathered under the Home Mortgage Disclosure Act (HMDA). According to those data, 31.8 percent of home loan applications submitted by American Indian applicants were denied over the ten-year period between 2004 and 2013, inclusive. By comparison, only 13 percent of applications from white residents were turned down during that same period. Similarly, the denial rate for Hispanic applicants was 22.9 percent, compared to 13 percent for non-Hispanic applicants.

- *Action 4.1:* Convene a committee or panel; in coordination with High Plains Fair Housing and the DOLHR, and seeking participation from professionals in the home lending industry; with the goal of identifying factors that contribute to differential denial rates to American Indian and Hispanic applicants.
- *Action 4.1.1:* Request recommendations on how to mitigate the factors contributing to higher denials rates for American Indian and Hispanic residents.
  - *Measurable Objective 4.1:* The establishment of the committee, the list of factors identified, and the recommendations developed.
  - *Result 4.1:* The DCS coordinates with 8 regional supportive housing development collaboratives across North Dakota, including HPFHC and the DOLHR. The group meets once a month to discuss a variety of topics and find solutions to affordable housing issues in ND. Education and training events are also created within the group for both landlords and tenants on various housing, finance, and discrimination topics.

Landlord compliance training was offered by organizations within the group on December 2, 2015. Topics in the training included fair housing and discrimination, and working with school families. This event will be offered again on December 8<sup>th</sup>, 2016 and cover topics including: fair housing, tenant resource guides, lease agreements, and more.

The group recently created a Guide to Renting Affordable Housing that includes information on income qualifying for affordable housing. This information can help applicants understand the process and requirements when submitting home loan applications. More information can be found at <https://www.ndhfa.org/Publications/HomeSchooled.html>.

- *Action 4.2:* Working in coordination with accredited local and statewide for-profit and non-profit organizations and government agencies, enhance credit counseling and education to prospective home buyers, focusing on strategies to build credit for home purchases, in partnership with local lenders and civic institutions.
  - *Measurable Objective 4.2.1:* The number of credit counseling and education activities conducted.
  - *Result 4.2.1:* The Nation American Development Council (NADC) conducted one homebuyer education workshop with six persons in attendance. A second workshop is scheduled for October 7, 2016.
  - *Measurable Objective 4.2.2:* The number of agencies and organizations contacted.
  - *Result 4.2.2:* 48 agencies/organizations were contacted.

**Impediment 5: Discrimination against public assistance income.** In spite of the fact that discrimination based on the receipt of public assistance is illegal under state law, complaint data from the DOLHR indicate that

nearly twelve percent of those who filed a complaint with the agency believed that they had suffered discrimination on that basis.

- *Action 5.1:* Contract with the HPFHC to conduct outreach and education to housing providers on the subject of public assistance, noting that discrimination based on use of public assistance is illegal under state law.
  - *Measurable Objective 5.1:* Number of outreach and education activities conducted.
  - *Result 5.1:* All of HPFHC activities include discussion of public assistance discrimination.
- *Action 5.2:* Coordinate with the DOLHR to conduct education activities to housing providers on the subject of public assistance, noting that discrimination based on use of public assistance is illegal under state law.
  - *Measurable Objective 5.2:* Number of education activities conducted.
  - *Result 5.2:* 19 education activities were conducted in FY2015 to housing providers on the subject of public assistance, noting that discrimination based on use of public assistance is illegal under state law.
- *Action 5.3:* Contact the state attorney general and request that future materials and publications concerning landlord-tenant rights and responsibilities include an explanation of public assistance discrimination.
  - *Measurable Objective 5.3:* Record of contact with the state attorney general, and his office' response.
  - *Result 5.3:* High Plains Fair Housing Center met with the Attorney General's office to discuss fair housing cases in general. The ND Apartment Association publishes a landlord-tenant's rights booklet. The Attorney Generals' office has L/T rights information on their website.

**Impediment 6: Lack of understanding of fair housing law and policy.** This impediment was identified through review of responses to the 2015 North Dakota Fair Housing Survey and the discussions with the Public Housing Agency and Non-Entitlement Grantee Outreach Committee. Between a quarter and half of respondents to survey questions concerning impediments to fair housing choice in the private and public sectors responded to each question with "don't know", which may suggest a widespread lack of confidence in their own ability to identify the kinds of policies and practices that count as discriminatory. In addition, a fifth of respondents stated that they were "not familiar" with fair housing laws, and several survey respondents maintained that there was a need for more education on the subject of fair housing. This opinion was shared by participants in the February 20th meeting of the Public Housing Agency and Non-Entitlement Grantee Outreach Committee Meeting, who maintained that enhanced outreach and education should form a major part of the actions and objectives adopted to promote fair housing choice in the state.

- *Action 6.1:* Contract with the HPFHC to conduct outreach and education to housing providers and property managers on the subject of affirmatively furthering fair housing, and what the law requires.
  - *Measurable Objective 6.1:* The number of outreach and education sessions offered and the number of attendants and participants.
  - *Result 6.1:* The HPFHC conducted 1 outreach and education events in FY2015 for housing providers and property managers on the subject of affirmatively furthering fair housing, and what the law requires. In total, there were 40 persons in attendance.
- *Action 6.2:* Establish yearly advertising and outreach activities, to take place during Fair Housing month (April), in partnership with High Plains Fair Housing Center and other non-profit organizations, the DOLHR,

regional councils, homeless providers, and CDBG grantees. Such activities could include panel discussions, fair housing presentations, web-based advertising (i.e., state and local jurisdiction websites, Facebook, etc.).

- *Measurable Objective 6.2:* Number of advertising and outreach activities established, number of interagency and public/private partnerships established, and the record of materials prepared for discussions and meetings, and the number of participants in such discussions and meetings.
- *Result 6.2:* The HPFHC has established an Opinion Article in Williston, Minot, Fargo, Bismarck, Grand Forks, Devil’s Lake and Medora and 5 outreach activities during Fair Housing Month. DOLHR established approximately 3 advertising and outreach activities during Fair Housing Month.

## **Public Sector Impediments, Suggested Actions, and Measurable Objectives**

***Impediment 1: Apparent shortage of accessible and visitable housing in rural North Dakota.*** This impediment was identified through review of responses to the 2015 Fair Housing Survey.

- *Action 1.1:* Partner with Regional Councils and Community Action Agencies to encourage communities to apply for homeowner and renter rehabilitation funding to modify existing dwellings with the goal of expanding the supply of accessible and visitable housing in rural North Dakota.
  - *Measurable Objective 1.1:* The number of communities who are contacted and encouraged to apply for rehabilitation funding and the number of communities who apply for such funding.
  - *Result 1.1:* The DCS reaches out to 8 Regional Councils, 8 Community Action Agencies, 2 Holding Authorities, 2 CHDOs, other nonprofits organizations to encourage applications for assistance.
- *Action 1.2:* Partner with Regional Councils and Community Action Agencies to encourage communities to apply new construction funding with the goal of expanding the supply of accessible and visitable housing in rural North Dakota.
  - *Measurable Objective 1.2:* The number of communities who are contacted and encouraged to apply for new construction funding and the number of communities who apply for such funding.
  - *Result 1.2:* The DCS reaches out to 8 Regional Councils, 8 Community Action Agencies, 2 Holding Authorities, 2 CHDOs, other nonprofits organizations to encourage applications for assistance.

***Impediment 2: Lack of understanding of fair housing law and duty to affirmatively further fair housing.*** This impediment was identified through review of responses to the 2015 North Dakota Fair Housing Survey and the discussions with the Public Housing Agency and Non-Entitlement Grantee Outreach Committee. As noted in the discussion for Private Sector Impediment 5, a large percentage of respondents answered each question with “don’t know”, and a fifth of respondents stated that they were “not familiar” with fair housing laws. Several survey respondents maintained that there was a need for more education on the subject of fair housing, an assessment that was shared by participants in the February 20th meeting of the Public Housing Agency and Non-Entitlement Grantee Outreach Committee Meeting, who maintained that

enhanced outreach and education should form a major part of the actions and objectives adopted to promote fair housing choice in the state.

- *Action 2.1:* Partner with the DOLHR to conduct education activities for local jurisdictions and Regional Councils on behalf of local jurisdictions seeking CDBG grant funding concerning state and federal fair housing law and the certification to affirmatively further fair housing.
  - *Measurable Objective 2.1:* The number of education sessions offered by the DOLHR.
  - *Result 2.1:* The DOLHR conducted 19 education sessions in FY2015. DOLHR offers training to everyone interested. The 19 education sessions included all members of the public so there is not a breakdown of those delivered specifically to local jurisdictions or Regional Councils.
  
- *Action 2.2:* Partner with the HPFHC to conduct outreach and education to local jurisdictions and Regional Councils on behalf of local jurisdictions seeking CDBG grant funding concerning state and federal fair housing law and the certification to affirmatively further fair housing.
  - *Measurable Objective 2.2:* The number of outreach and education sessions offered by the HPFHC.
  - *Result 2.2:* High Plains has a solid relationship with Fargo, Bismarck and Grand Forks. They regularly work together to hold seminars on fair housing and place announcements on their Public Access Channels and exchange information. High Plains assisted each jurisdiction with their desk audit.
  
- *Action 2.3:* Establish yearly advertising and outreach activities, to take place during Fair Housing month (April). Such activities could include panel discussions, fair housing presentations, and web-based advertising (i.e., state and local jurisdiction websites, Facebook, etc.)
  - *Measurable Objective 2.3:* Number of advertising and outreach activities established and the record of materials prepared for discussions and meetings.
  - *Result 2.3:* DOLHR, HPFHC, and other non-profit organizations, establish various advertising and outreach activities taking place during Fair Housing Month. See responses to Action 2.1 and Action 2.2 above.

## **CR-40 - Monitoring 91.220 and 91.230**

**Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:**

**Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements**

Monitoring will be the responsibility of the staff assigned to the CDBG, HOME, and ESG programs and the organizations that partner with the Division of Community Services to implement these programs. This will primarily be accomplished through quarterly and final reports on each funded project. To assure long-term compliance with program requirements, staff will do on-site monitoring of files and each completed project using a systems review of the implementing organizations. Staff will also perform desk-top monitoring using drawdown requests, environmental review notices, Section 504 Self-evaluations, and the Integrated Disbursement Information System (IDIS).

### **Citizen Participation Plan 91.105(d); 91.115(d)**

**Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.**

See attached Citizen Participation plan in CR-00 Administration attachments.

**CR-45 - CDBG 91.520(c)**

**Specify the nature of, and reasons for, any changes in the jurisdiction's program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.**

No changes.

<b>Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?</b>	No
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## **CR-50 - HOME 91.520(d)**

**Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations** (Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation).

Out of the 36 on-site inspections that needed to be completed this year, we were able to inspect all but one location. We were not able to inspect New Rockford Apartments. We had the inspection planned and scheduled, but a scheduling conflict arose and we were unable to complete the monitoring during the program year. We are planning to complete the on-site inspection this program year.

For a complete list of the units that were inspected please see the document below.

The majority of the units and projects inspected had no findings or issues. However, there were four units that a letter was sent to the property managers to discuss issues. At this point, all of the issues have been resolved. The following is a list of the findings/issues: Bonnie's House in Bismarck was missing 2 months of source documentation for one tenant. Parkview Apartments was missing the vacancy rate, a door needed repair and the ventilation system vibrated. At Cherry Heights, we were unable to verify incomes for a few of the tenants. And at Horizons, we were missing source documentation and unable to verify incomes. All issues have been resolved.

2015 Long Term & Program Monitoring Schedule (sorted by Grantee)				
IDIS #	Recipient	Client Id.	City (property)	Number of Units
4386	City of Bismarck	23rd Street SRO	Bismarck	2
1456	City of Bismarck	Bowen Ave. Four Plex	Bismarck	2
6718	City of Bismarck	Bowen Ave. # 3 & 4	Bismarck	2
6470	City of Bismarck	Bowen Ave II	Bismarck	2
4930	City of Bismarck	Brandon Heights II	Bismarck	2
1454	City of Bismarck	Pebble Creek	Bismarck	2
1453	City of Bismarck	Shared Housing	Bismarck	3
7827	City of Bismarck	Dakota Apts	Bismarck	1
7197	City of Bismarck	5th St Project	Bismarck	2
7961	City of Bismarck	Bonnie's House	Bismarck	2
1455	City of Bismarck	The Terrace	Bismarck	4
1438	SENDCAA	Homestead Village	Gwinner	3
1436	SENDCAA	Grace Village	Hankinson	4
1437	SENDCAA	Hearthstone Village	Hatton	3
1510	City of Fargo	Fresh Start	Fargo	2
5226	EDHA	Homestead Commons	Gwinner	2
6766	EDHA	Sheyenne Commons	West Fargo	3
8029	EDHA	New Rockford Apartments	New Rockford	2
8327	EDHA	Legacy	Jamestown	4
8415	EDHA	Sundance Commons	Casselton	25
1451	AHDI	Park View Apts.	Kenmare	2
8005	AHDI	Linden Corners	Stanley	4
7911	AHDI	Williston Senior Apts.	Williston	2
7740	AHDI	Northview	Williston	3
8465	AHDI	Washington TH I	Minot	3
8687	AHDI	Washington TH II	Minot	3
5897	AHDI	Prairie Ave. Townhomes	Dickinson	4
8723	AHDI	Heritage Hills I	Dickinson	3
7910	AHDI	Prairie Hills Townhomes	Dickinson	4
5582	City of Grand Forks	ASI Supportive	GF	1
8939	City of Grand Forks	Cherry Heights	GF	64
6750	City of Grand Forks	The Current Apts.	GF	2
9057	City of Grand Forks	Riverside	GF	38
8298	City of Grand Forks	The Aurora on Griggs Square	GF	4
1420	Ruth Meiers HH	Horizons	Bismarck	6
1421	Senior Meals	Park Place II	Devils Lake	3

**Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 92.351(b)**

Every HOME Assisted Project is required to include the affirmative marketing logo in any advertisements that are posted for available units. During the jurisdiction's monitoring visits, the PJ looks at copies of advertisements to ensure that the affirmative marketing logo was used correctly, in an appropriate size and location. In addition, for new construction, rental rehabilitations and acquisitions that post large financing signs in front of the property, the affirmative marketing logo is used there, too. After the affirmative marketing logo is used, all projects are monitored to ensure compliance with all affirmative marketing rules and regulations.

**Data on the amount and use of program income for projects, including the number of projects and owner and tenant characteristics.**

In the 2015 Program Year, 38 projects used program income as a source of funding, for a total of \$103,925.15. The characteristics of those projects are as follows:

Type of Project: TBRA – 34, Rehab – 4

Race: White-212, American Indian/Alaskan Native- 22, American Indian/Alaskan Native and White- 1, Black/African American- 56, Native Hawaiian/Other Pacific Islander- 1, Other/Multi-racial- 1.

Hispanic: Yes- 12, No- 282

Area Median Income: 0-30% - 217, 31-50% - 71, 51-60% - 3, 60-80% - 3

Type of household: Elderly- 33, Other- 10, Single Parent- 109, Two Parent- 21, Single/Non-elderly- 121

**Other actions taken to foster and maintain affordable housing. 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 91.320(j)**

The State HOME Program encourages our non-profit developers and CHDOs to use all sources of GAP financing to complete projects. Our state HIF program, LIHTC, CDBG and other sources such as USDA can all partner together in projects to make sure affordable housing is created in our state and developed in areas where there is a need. Together, all of these programs monitor affordable housing units to make sure they are maintained and cared for as they should be and continue to provide tenants with safe, secure and affordable housing.

## CR-60 - ESG 91.520(g) (ESG Recipients only)

### ESG Supplement to the CAPER in *e-snaps*

#### For Paperwork Reduction Act

#### Recipient Information—All Recipients Complete

##### Basic Grant Information

Recipient Name	NORTH DAKOTA
Organizational DUNS Number	802741843
EIN/TIN Number	450309764
Identify the Field Office	DENVER
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	North Dakota Statewide CoC

##### ESG Contact Name

Prefix	Mrs
First Name	ADELE
Middle Name	0
Last Name	SIGL
Suffix	0
Title	CDBG-ESG Program Manager

##### ESG Contact Address

Street Address 1	1600 East Century Avenue
Street Address 2	0
City	Bismarck
State	ND
ZIP Code	-
Phone Number	7013282618
Extension	0
Fax Number	7013285320
Email Address	ASIGL@ND.GOV

##### ESG Secondary Contact

Prefix	Mrs
First Name	Alicia
Last Name	Hochhalter
Suffix	0
Title	Program Administrator
Phone Number	7013285308
Extension	0
Email Address	ahochhalter@nd.gov

**Reporting Period—All Recipients Complete**

**Program Year Start Date** 07/01/2015  
**Program Year End Date** 06/30/2016

**Subrecipient Form – Complete one form for each subrecipient**

**Subrecipient or Contractor Name:** RUTH MEIERS HOSPITALITY HOUSE

**City:** Bismarck

**State:** ND

**Zip Code:** 58502, 2092

**DUNS Number:**

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 29300

**Subrecipient or Contractor Name:** SOUTHEASTERN NORTH DAKOTA COMMUNITY ACTION

**City:** Fargo

**State:** ND

**Zip Code:** 58108, 2683

**DUNS Number:**

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 28000

**Subrecipient or Contractor Name:** COMMUNITY ACTION PROG. REG VII

**City:** Bismarck

**State:** ND

**Zip Code:** 58504, 6728

**DUNS Number:**

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 14300

**Subrecipient or Contractor Name:** RED RIVER COMMUNITY ACTION (GRAND FORKS CAA)  
**City:** GRAND FORKS  
**State:** ND  
**Zip Code:** 58203,  
**DUNS Number:**  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 44291.18

**Subrecipient or Contractor Name:** ABUSED ADULT RESOURCE CENTER  
**City:** Bismarck  
**State:** ND  
**Zip Code:** 58502, 5003  
**DUNS Number:** 180993446  
**Is subrecipient a victim services provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 30000

**Subrecipient or Contractor Name:** COMMUNITY VIOLENCE INTERVENTION  
**City:** Grand Forks  
**State:** ND  
**Zip Code:** 58201, 4737  
**DUNS Number:** 164197675  
**Is subrecipient a victim services provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 20281.75

**Subrecipient or Contractor Name:** DOMESTIC VIOLENCE & ABUS CENTER  
**City:** Grafton  
**State:** ND  
**Zip Code:** 58237, 0308  
**DUNS Number:** 164199200  
**Is subrecipient a victim services provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 4600

**Subrecipient or Contractor Name:** DOMESTIC VIOLENCE CRISIS CENTER, INC

**City:** Minot

**State:** ND

**Zip Code:** 58702, 0881

**DUNS Number:** 957651409

**Is subrecipient a victim services provider:** Y

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 23000

**Subrecipient or Contractor Name:** FAMILY CRISIS SHELTER, INC

**City:** Williston

**State:** ND

**Zip Code:** 58802, 1893

**DUNS Number:** 165913914

**Is subrecipient a victim services provider:** Y

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 11500

**Subrecipient or Contractor Name:** GLADYS RAY SHELTER - CITY OF FARGO

**City:** Fargo

**State:** ND

**Zip Code:** 58103, 1505

**DUNS Number:** 070265871

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Unit of Government

**ESG Subgrant or Contract Award Amount:** 30700

**Subrecipient or Contractor Name:** NEW LIFE CENTER

**City:** Fargo

**State:** ND

**Zip Code:** 58107, 1067

**DUNS Number:** 135269249

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 24600

**Subrecipient or Contractor Name:** WELCOME HOUSE

**City:** Bismarck

**State:** ND

**Zip Code:** 58501, 5194

**DUNS Number:** 858951010

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 28500

**Subrecipient or Contractor Name:** WOMEN'S ACTION AND RESOURCE CENTER

**City:** Beulah

**State:** ND

**Zip Code:** 58523, 0940

**DUNS Number:** 005625231

**Is subrecipient a victim services provider:** Y

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 6500

**Subrecipient or Contractor Name:** YOUTHWORKS

**City:** Bismarck

**State:** ND

**Zip Code:** 58501, 3755

**DUNS Number:** 145766671

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 27971.41

**Subrecipient or Contractor Name:** YWCA CASS CLAY

**City:** Fargo

**State:** ND

**Zip Code:** 58102, 3070

**DUNS Number:** 842058851

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 26000

**Subrecipient or Contractor Name:** DOMESTIC VIOLENCE & RAPE CRISIS CENTER, INC  
**City:** Dickinson  
**State:** ND  
**Zip Code:** 58601, 3903  
**DUNS Number:** 181011248  
**Is subrecipient a victim services provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 6900

**Subrecipient or Contractor Name:** ST. JOSEPH'S SOCIAL CARE  
**City:** Grand Forks  
**State:** ND  
**Zip Code:** 58201, 4816  
**DUNS Number:** 969745843  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 28025

**Subrecipient or Contractor Name:** SAFE ALTERNATIVES FOR ABUSED FAMILIES  
**City:** Devils Lake  
**State:** ND  
**Zip Code:** 58301, 0646  
**DUNS Number:** 038941410  
**Is subrecipient a victim services provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 13000

**Subrecipient or Contractor Name:** SHELTER FOR HOMELESS, INC  
**City:** Grand Forks  
**State:** ND  
**Zip Code:** 58201, 4624  
**DUNS Number:** 181043605  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 23500

**Subrecipient or Contractor Name:** SALVATION ARMY - BISMARCK

**City:** Bismarck

**State:** ND

**Zip Code:** 58504, 5465

**DUNS Number:** 180995813

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 18000

**Subrecipient or Contractor Name:** SALVATION ARMY - JAMESTOWN

**City:** Jamestown

**State:** ND

**Zip Code:** 58401, 3301

**DUNS Number:** 126341366

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 20080

**Subrecipient or Contractor Name:** SALVATION ARMY - GRAND FORKS

**City:** Grand Forks

**State:** ND

**Zip Code:** 58203, 3465

**DUNS Number:** 126340426

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 9700

**Subrecipient or Contractor Name:** Aid, Inc.

**City:** Mandan

**State:** ND

**Zip Code:** 58554, 3144

**DUNS Number:** 606110484

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 14300

**Subrecipient or Contractor Name:** Fraser, Ltd.  
**City:** Fargo  
**State:** ND  
**Zip Code:** 58103, 6032  
**DUNS Number:** 086572773  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 15075

**Subrecipient or Contractor Name:** NDCHP  
**City:** Bismarck  
**State:** ND  
**Zip Code:** 58503, 0690  
**DUNS Number:** 142776231  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 8750

**Subrecipient or Contractor Name:** Minot Area Men's Winter Refuge  
**City:** Minot  
**State:** ND  
**Zip Code:** 58701, 5595  
**DUNS Number:** 079791578  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 5528.59

**Subrecipient or Contractor Name:** Salvation Army - Williston  
**City:** Williston  
**State:** ND  
**Zip Code:** 58802, 0420  
**DUNS Number:** 126345276  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 20000

## CR-65 - Persons Assisted

### Persons Served

#### Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 13 – Household Information for Homeless Prevention Activities

#### Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 14 – Household Information for Rapid Re-Housing Activities

#### Complete for Shelter

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 15 – Shelter Information

## Street Outreach

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 16 – Household Information for Street Outreach

## Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 17 – Household Information for Persons Served with ESG

## Gender—Complete for All Activities

	Total
Male	0
Female	0
Transgender	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 18 – Gender Information

**Age—Complete for All Activities**

	<b>Total</b>
Under 18	0
18-24	0
25 and over	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 19 – Age Information

**Special Populations Served—Complete for All Activities**

**Number of Persons in Households**

<b>Subpopulation</b>	<b>Total</b>	<b>Total Persons Served – Prevention</b>	<b>Total Persons Served – RRH</b>	<b>Total Persons Served in Emergency Shelters</b>
Veterans	0	0	0	0
Victims of Domestic Violence	0	0	0	0
Elderly	0	0	0	0
HIV/AIDS	0	0	0	0
Chronically Homeless	0	0	0	0
<b>Persons with Disabilities:</b>				
Severely Mentally Ill	0	0	0	0
Chronic Substance Abuse	0	0	0	0
Other Disability	0	0	0	0
Total (Unduplicated if possible)	0	0	0	0

Table 20 – Special Population Served

## CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

### Shelter Utilization

Number of New Units - Rehabbed	5
Number of New Units - Conversion	0
Total Number of bed-nights available	134,007
Total Number of bed-nights provided	102,436
Capacity Utilization	76.44%

Table 21 – Shelter Capacity

### Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

1. Renewed statewide Shelter Plus Care grant and will continue to support applications for these projects in 2016 and 2017.
2. Continued to set-aside 30% of the Emergency Solutions Grants program funds for homeless prevention and rapid re-housing activities. As of June 30, 2016, 100% of the \$532,402.93 were used for shelter operations, homeless prevention, rapid re- housing and HMIS activities.
3. Emergency Solutions Grant funds were awarded to 27 agencies for FY2015.
4. Continue to participate in the Governor’s Interagency Council on Homelessness.
5. In the 2015-2017 biennium, the State allocated \$1,500,000 to the Department of Commerce for Homeless Assistance. We developed a grant very similar to the Emergency Solutions Grant with some exceptions. We added the flexibility of going above the Fair Market Rents due to the oil boom. Also added was the income limits up to 50% instead of 30%. With the addition of these funds, the Emergency Solutions Grant funding was used more for operations, although keeping within the 60% threshold for the operations component.

Our state takes the stance of Housing First and will continue this philosophy.

### Continuum of Care

Continue to participate and provide technical assistance to the Statewide Continuum of Care process.

1. The Statewide Continuum continues to make permanent supportive housing its number one priority. The Shelter Plus Care applications continue to rank high due to their supportive housing to persons and families with supportive housing disabilities as well as persons with HIV/AIDS.
2. The Department of Commerce, Division of Community Services continues to use a portion of the Emergency Solutions Grant funds for homeless prevention activities. These funds have been used to assist very low-income

individuals and families at risk of becoming homeless. The homeless prevention has included those that are being released from institutions, health care facilities, and correctional institutions.

3. North Dakota's statewide Continuum of Care is in hold harmless need status and has received 100% of its renewals and supportive housing bonus money each year since 2008.

## CR-75 – Expenditures

### Expenditures

#### ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	2013	2014	2015
Expenditures for Rental Assistance	78,533	108,103	97,135
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	10,979	27,221	21,773
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	7,258
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	0
<b>Subtotal Homelessness Prevention</b>	<b>89,512</b>	<b>135,324</b>	<b>126,166</b>

Table 22 – ESG Expenditures for Homelessness Prevention

#### ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	2013	2014	2015
Expenditures for Rental Assistance	28,063	17,860	28,084
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	3,812	29,835	32,176
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	10,725
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	0	0
<b>Subtotal Rapid Re-Housing</b>	<b>31,875</b>	<b>47,695</b>	<b>70,985</b>

Table 23 – ESG Expenditures for Rapid Re-Housing

#### ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	2013	2014	2015
Essential Services	26,986	32,967	60,682
Operations	303,676	286,839	261,760
Renovation	0	0	0
Major Rehab	0	0	0
Conversion	0	0	0
<b>Subtotal</b>	<b>330,662</b>	<b>319,806</b>	<b>322,442</b>

Table 24 – ESG Expenditures for Emergency Shelter

## Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	2013	2014	2015
HMIS	310	2,081	12,810
Administration	26,948	31,208	33,438
Street Outreach	0	0	0

Table 25 - Other Grant Expenditures

## Total ESG Grant Funds

Total ESG Funds Expended	2013	2014	2015
1,581,262	479,307	536,114	565,841

Table 26 - Total ESG Funds Expended

## Match Source

	2013	2014	2015
Other Non-ESG HUD Funds	0	0	0
Other Federal Funds	0	0	0
State Government	120,000	120,000	120,000
Local Government	0	0	0
Private Funds	332,360	384,905	412,403
Other	0	0	0
Fees	0	0	0
Program Income	0	0	0
<b>Total Match Amount</b>	<b>452,360</b>	<b>504,905</b>	<b>532,403</b>

Table 27 - Other Funds Expended on Eligible ESG Activities

## Total

Total Amount of Funds Expended on ESG Activities	2013	2014	2015
3,070,930	931,667	1,041,019	1,098,244

Table 28 - Total Amount of Funds Expended on ESG Activities



# THE CITIZEN PARTICIPATION PLAN FOR THE NORTH DAKOTA CONSOLIDATED PLAN AND ANNUAL PERFORMANCE REPORT

July 2016

**Division of Community Services  
1600 East Century Avenue, Suite 2  
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**ALTERNATIVE FORMATS ARE AVAILABLE UPON REQUEST**

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North Dakota Department of Commerce: 1600 E. Century Avenue, Suite 2, P.O. Box 2057, Bismarck, ND 58502-2057 • Phone: 701-328-5300  
Toll-Free: 1-866-4DAKOTA • Fax: 701-328-5320 • ND Relay TTY: 1-800-366-6888 • Voice: 1-800-366-6889 • [NDCommerce.com](http://NDCommerce.com)

## PURPOSE

In 1994, the US Department of Housing and Urban Development issued new rules consolidating the planning, application, reporting, and citizen participation processes of four formula grant programs: Community Development Block Grants (CDBG), Home Investment Partnerships (HOME), Housing Trust Fund (HTF), Emergency Solutions Grants (ESG) and Housing Opportunities for Persons with AIDS (HOPWA). The new planning process was intended to more comprehensively fulfill three basic goals: to provide decent housing, to provide a suitable living environment and to expand economic opportunities.

The Consolidated Plan is actually a three-part planning process required by HUD. It comprises developing a five-year strategic plan, preparing annual action plans and submitting annual performance reports. These three parts are intended to furnish the framework whereby North Dakota can identify its housing, homeless, community, and economic development needs, identify resources that will be tapped and actions to be taken to address the needs, as well as look back and evaluate the state's progress toward achieving its strategic goals. Completing these documents on time and in a manner that is acceptable to HUD ensures program funding.

The precursor to the Consolidated Plan is the Citizen Participation Plan (CPP). The objectives of the CPP are to ensure that the citizens of North Dakota, particularly persons of low and moderate income, persons living in slum and blight areas, units of local government, housing agencies and other interested parties, are provided with the opportunity to participate in the planning and preparation of the Consolidated Plan, including amendments to the Consolidated Plan and the Annual Performance Report. In doing so, the CPP sets forth general policies and procedures for implementing and carrying out the consolidated planning process, such as how the Consolidated Plan will be developed, dates and milestones along which the process will proceed and methods for citizens to offer the state assistance and guidance in the formulation of the Plan. Furthermore, the provisions of the CPP fulfill statutory and regulatory requirements for citizen participation specified in the U.S. Department of Housing and Urban Development's rules for the Consolidated Plan, the HOME Investment Partnerships (HOME) Program, the Community Development Block Grant (CDBG) Program, the Housing Trust Fund (HTF), the Emergency Solutions Grants (ESG) Program and the Housing Opportunities for Persons with AIDS (HOPWA) Program. In North Dakota, the North Dakota Department of Commerce, Division of Community Services is the lead agency for developing, implementing, monitoring, and reporting on the achievements of the Consolidated Plan. The Division administers CDBG, HTF, ESG, HOME and new funding that may become available. HOPWA funds are administered by Tri-State HELP (Housing Environment for Living Positively).

The Consolidated Plan identifies the housing and community development needs of the State of North Dakota, and sets priorities for spending the HUD grant funds. Public comment is a vital component of identifying the state's housing and community development needs and spending priorities. The Consolidated Plan offers the opportunity for strategic statewide planning to occur alongside citizen participation.

#### COMMENT ON THE CITIZEN PARTICIPATION PLAN AND AMENDMENTS

North Dakota Department of Commerce will receive comments on the proposed Citizen Participation Plan during a 15 day comment period. In the event that there are substantial amendments to this Citizen Participation Plan, an additional comment period of at least 15 days will be allotted. This proposed Citizen Participation Plan (and, if necessary, the substantially amended Citizen Participation Plan) will be made available to the public before the 15 day comment period begins.

Individuals who require accommodations, including appropriate auxiliary aids, translated documents or interpreters to participate in a public hearing, or who would like to request a copy of the proposed Citizen Participation Plan, or another document, in an alternate format, should contact the Department of Commerce's Section 504 Coordinator, Adele Sigl via email at [dcsc@nd.gov](mailto:dcsc@nd.gov) or by calling 701-328-5300, TTY: 800-366-6888, Spanish TTY: 800-435-8590 or Relay ND Voice: 800-366-6889.

#### ENCOURAGEMENT OF CITIZEN PARTICIPATION

The Consolidated Plan is designed to enumerate North Dakota's overall strategy for coordinating federal and other housing and community development resources to provide decent housing, establish and maintain a suitable living environment, and expand economic opportunities, particularly for low and moderate income persons.

Interested groups and individuals are encouraged to provide input into all aspects of North Dakota's consolidated planning activities, from assessing needs to setting priorities through performance evaluation. By following the CPP, there will be numerous opportunities for citizens to contribute information, ideas and opinions about ways to improve our neighborhoods, promote housing affordability and enhance the delivery of public services to local residents.

Affirmative efforts to encourage and solicit participation from the state's residents and populations least likely to have awareness of HUD-funded programs and processes including low-income residents, individuals with disabilities, racial and ethnic minorities, and female-headed households shall include:

1. Advertising the details of the CPP (e.g. documents for public review, meetings, opportunities to provide feedback and comment) in the State's daily newspapers, and those daily or non-daily publications on or near the Turtle Mountain Band of Chippewa, Spirit Lake Sioux, Standing Rock Sioux, Wahpeton Sisseton Sioux, the Three Affiliated Tribes reservations and Trenton Indian Health Service Area located West of Williston, North Dakota;
2. Sending notice of the CPP (e.g. documents for public review, meetings, opportunities to provide feedback and comment) with advocacy groups, non-profit and for profit organizations, and state and community agencies that provide services to or advocate for low-income individuals, individuals with disabilities, racial and ethnic minorities and female-headed households; and
3. Posting Notifications on the DCS website.

#### LIMITED ENGLISH PROFICIENT PERSONS AND THE LANGUAGE ACCESS PLAN

The State of North Dakota will make every effort to ensure that Limited English Proficient (LEP) persons have meaningful access to federally funded programs and services as is required under Title VI of the Civil Rights Act of 1964.

The State of North Dakota has a diverse population where many languages are spoken. A substantial number of persons that speak these languages do not speak English or do not speak English very well and are considered Limited English Proficient (LEP).

Regardless of which language a person speaks or their ability to speak English, the State of North Dakota will make every effort to ensure that they have meaningful access to federal funding services through either oral interpretation or written translations of vital documents. Since the State of North Dakota has a number of LEP persons, all countywide public notices and public hearings must ensure that language services are provided or available. For example, each year the public notice for the Annual Action Plan will be printed in various languages and translation services will be provided as necessary for the public hearing.

However, many programs and services delivered within the State of North Dakota, including those carried out by participating cities, have distinct service areas and, as such, an assessment must be made by each agency administering the activity to determine which language services should be provided based on the identified LEP population in the service area.

To assist participating agencies, the State of North Dakota has developed a bulletin instructing them to conduct the four-factor analysis and develop their own Language Access Plan (LAP) to ensure that LEP persons have meaningful access to their federally funded programs and services. The state will also provide technical assistance to assist the agencies in conducting the four-factor analysis and in developing their Language Access Plans.

The four-factor analysis is as follows:

Factor 1: Determine the number or proportion of LEP persons served or encountered in the eligible service area.

Factor 2: Determine the frequency with which LEP persons come in contact with the program.

Factor 3: Determine the importance of the information, services, program, or the activity to people's lives.

Factor 4: Assess costs versus resources and benefits in providing language services.

The State of North Dakota is confident that no person will be denied federally funded services based on their ability to speak English.

The State of North Dakota is committed to keeping all interested groups and individuals informed of each phase of the consolidated planning process and of activities being proposed or undertaken under HUD formula grant programs. Opportunities to comment on or participate in planning community development and affordable housing activities and projects will be publicized and disseminated throughout the state.

#### PUBLIC HEARINGS

Eight public hearings will be held to gather comments on the Consolidated Plan. The hearing will be held prior to drafting of the plan.

A summary of the written and oral public comments from individuals or groups received in writing or at the public meetings will be considered for the Consolidated Plan.

Venues used for interviews, focus groups, grant applications, and public meetings are fully accessible to people with disabilities that impact mobility. Should a venue not be fully accessible, the individual(s) should contact the Department of Commerce's Section 504 Coordinator, Adele Sigl via email at [dcsc@nd.gov](mailto:dcsc@nd.gov) or by calling 701-328-5300, TTY: 800-366-6888, Spanish TTY: 800-435-8590 or Relay ND Voice: 800-366-6889.

#### PUBLICATION OF CONSOLIDATED PLAN DOCUMENTS

The state will publish the draft Consolidated Plan or Annual Action Plan for public review in a manner that affords citizens, public agencies and other interested parties a reasonable opportunity to examine its contents and submit comments. The Draft Plan will be a complete document and shall include:

- The amount of assistance the state agencies expect to receive, and
- The range of activities that may be undertaken, including the estimated amount that will benefit persons of low and moderate income.

A notice for the release of the Draft Plan will be published in several newspapers of general circulation at the beginning of the public comment period. The release will include a list of the locations where copies of the entire proposed Consolidated Plan may be obtained or examined. The following are among the locations where copies of the public comment draft will be made available for inspection:

- North Dakota Department of Commerce, Division of Community Services' Offices
- Division of Community Services website: <http://www.communityservices.nd.gov/>

Citizens and groups may obtain a reasonable number of free copies of the proposed Consolidated Plan by contacting the Department of Commerce's Section 504 Coordinator, Adele Sigl via email at [dcs@nd.gov](mailto:dcs@nd.gov) or by calling 701-328-5300, TTY: 800-366-6888, Spanish TTY: 800-435-8590 or Relay ND Voice: 800-366-6889.

#### PUBLIC COMMENTS ON THE DRAFT CONSOLIDATED PLAN AND ANNUAL ACTION PLANS

One public meeting will be held in each of the State's Regional Planning Districts prior to drafting the Consolidated Plan, to gather input from citizens regarding proposed changes. Written comments and citizen participation by low and moderate income individuals, minorities, non-English speaking persons and persons with disabilities will be encouraged through the affirmative efforts outlined in the Encouragement of Citizen Participation section on page 2.

Notices of the meetings will be published in the daily newspapers across the state no less than 15 days prior to the meeting and a press release will be forwarded to all newspapers statewide. DCS will also attempt to email a copy of the notice or press release to interested parties.

The proposed Consolidated Plan will be made available to interested parties for a comment period no less than 30 days.

Along with the notification that the proposed Consolidated Plan is available for public comment, a summary of the proposed plan's contents and purpose will be published. The notification will be published on or before the day the proposed Consolidated Plan comment period begins. Locations where copies of the entire proposed plan may be obtained will also be stated in the notice.

The proposed Consolidated Plan will be available at DCS's website at [www.communityservices.nd.gov/](http://www.communityservices.nd.gov/) for the full public comment period. Copies of the proposed plan will also be available from DCS during the public comment period.

## PUBLIC OUTREACH

An informed citizenry is critical to effective and responsive housing and community development programs. Efforts to educate residents and empower their participation are an ongoing element of the consolidated planning process.

Public education and outreach will be facilitated through the use of public advertisements that describe the consolidated planning process, opportunities for citizen participation and available funding through the CDBG, HTF, ESG, and HOME programs.

## TECHNICAL ASSISTANCE

Groups or individuals interested in obtaining technical assistance to develop project proposals or applying for funding assistance through HUD formula grant programs covered by the Consolidated Plan or Annual Action Plan may contact the staff of the North Dakota Department of Commerce, Division of Community Services. Such assistance may be of particular use to community development organizations, nonprofit service providers, and for-profit and nonprofit housing development groups that serve or represent persons of low and moderate income.

## SUBSTANTIAL AMENDMENT CRITERIA FOR THE CONSOLIDATED PLAN

The following will constitute a substantial amendment to the Consolidated Plan:

1. A change in the method of distribution or grant selection criteria.
2. The addition of an activity not initially identified in the Consolidated Plan.
3. Funding of an activity not identified in the Consolidated Plan as a high or medium priority.

## ADMINISTRATIVE AMENDMENTS

Administrative amendments are those that are not considered substantial in nature and pertain chiefly to minor administrative modifications of the programs. Thus they do not require in-depth citizen participation.

## CITIZEN PARTICIPATION IN THE EVENT OF A SUBSTANTIAL AMENDMENT

In the event of a substantial amendment to the Consolidated Plan, the Community Services Division, depending on the nature of the amendment, will conduct at least one additional public hearing. This hearing will follow a comment period of no less than 30 days, where the proposed substantially amended Consolidated Plan will be made available to interested parties. Citizens will be informed of the public hearing through daily newspaper notification and the state websites prior to the hearing.

Citizens will be notified of the substantially amended Consolidated Plan's availability through newspaper notification prior to the 30-day comment period. The substantially amended sections of the Consolidated Plan will be available on the Division of Community Services' website, [www.communityservices.nd.gov/](http://www.communityservices.nd.gov/) or the full public comment period.

#### CONSIDERATION OF PUBLIC COMMENTS ON THE SUBSTANTIALLY AMENDED PLAN

In the event of substantial amendments to the Consolidated Plan, the state will openly consider any comments on the substantially amended Consolidated Plan from individuals or groups. Comments must be received in writing or at public hearings. A summary of the written and public hearing comments on the substantial amendments will be included in the amended Consolidated Plan. The final Consolidated Plan will also include a summary of all comments not accepted and their reasons for dismissal.

#### AMENDMENT CRITERIA FOR THE CDBG PROGRAM DISTRIBUTION STATEMENT

Amendments to Program Statement Affecting the Action Plan of the Consolidated Plan as stated in the Citizen Participation Plan for the North Dakota Consolidated Plan and Annual Performance Report.

The following information must be submitted to DCS when amending Regional Program Statements:

1. The Regional Council Board or its designated committee must approve amendments to the Regional Program Statement prior to notifying cities, counties and other interested parties.
2. All cities, counties and other interested parties in the region must be notified in writing of the intended change and allow 30 days for comment. The written notice should be sent in letter or memo form.
3. A copy of the notification must be submitted to the DCS within 10 working days of the date of the notification, along with a copy of the board approval and the minutes approving the amendment. The 15-day comment period must be completed by the application/amendment deadline as stated in the most current Program Distribution Statement.
4. Upon receipt of the intended change, DCS will forward a copy of all changes to HUD.
5. Changes to the Regional Program Statement cannot be implemented prior to the 30 day process.

What constitutes an amendment to the Action Plan? (the following are examples, but the list is not inclusive)

1. Changes to initially established grant selection criteria.
2. Funding of activities not initially identified in the annual Action Plan.
3. Funding of an activity not identified in the Plan as a high or medium priority.

Amendments to Regional Program Statement for the CDBG Program as stated in the Citizen Participation Plan for the North Dakota Consolidated Plan and Annual Performance Report.

The following information must be submitted to the DCS when amending Regional Program Statements:

1. The Regional Council Board or its designated committee must approve amendments to the Regional Program Statement prior to notifying cities, counties and other interested parties.
2. All cities, counties and other interested parties in the region must be notified in writing of the changes to be made and given 15 days to respond. The written notice should be sent in letter or memo form. The 15-day comment period must be completed by the application/amendment deadline as stated in the most current Program Distribution Statement.
3. Changes to the Regional Program Statement cannot be implemented prior to notifying cities, counties and other interested parties.
4. A copy of the notification must be submitted to the DCS within 10 working days after the end of the 15-day comment period, along with a copy of the board approval and the minutes approving the amendment. If the notification is not submitted within the required time frame, the amendment will not be valid.

What constitutes an amendment to the CDBG Program? (the following are examples, but the list is not inclusive)

1. Transferring money from one emphasis area to another.
2. Modifications to deadline dates.

EXCEPTION:

Transfers without Notice

1. After scoring and ranking has occurred, transfers between funding categories that are \$20,000 and less can be made without public notice.
2. If a balance remains in a funding category, and not all applications received for that category were funded, an explanation must be provided why the applicant(s) were not offered the balance of the funds remaining.
3. Transfers without notice will be limited to two transfers per year.
4. The DCS must be notified of all transfers in writing within 10 days of the Board decision, along with a copy of the board approval and the minutes approving the transfer.

NOTE: This policy applies to allocation funds only. Revolving Loan funds can only be used for Economic Development projects.

AMENDMENT CRITERIA FOR THE HOME PROGRAM DISTRIBUTION STATEMENT

The state may relocate funds from one category to another, or one state recipient or subrecipient to another, because of high demand, an emergency due to a natural disaster, or determinations that performance-based measures have not been met.

State program income received by DCS may be allocated, at our discretion, for any project or activity deemed necessary.

The application process for CHDOs/Nonprofits will be competitive for the first round if any funds remain, and it will be on a first-come, first-serve based needs.

CHANGES IN FEDERAL FUNDING LEVEL

Any changes in federal funding level after the Consolidated Plan and Annual Action Plan's draft comment period has expired and the resulting effect on the distribution of funds will not be considered an amendment or a substantial amendment.

ANNUAL PERFORMANCE REPORTS

Performance reports on CDBG, HOME, HTF, and ESG programs covered by the Consolidated Plan or Annual Action Plan are to be prepared by the North Dakota Department of Commerce, Division of Community Services within 90 days of the start of each program year. Draft performance reports will be made available upon written request. The draft performance report will be available for comment for no less than 15 days, and any public comments received in writing will be reported in an addendum to the final performance report.

## ACCESS TO RECORDS

To the extent allowed by law, interested citizens and organizations shall be afforded reasonable and timely access to records covering the preparation of the Consolidated Plan or Annual Action Plan, project evaluation and selection, HUD's comments on the Plan and annual performance reports. In addition, materials on formula grant programs covered by the Consolidated Plan or Annual Action Plan, including activities undertaken in the previous five years, will be made available to any member of the public who requests information. A complete file of citizen comments will also be available for review by interested parties.

## COMPLAINTS AND GRIEVANCES

Citizens, administering agencies and other interested parties may submit complaints and grievances regarding the programs the North Dakota Department of Commerce, Division of Community Services administers. Complaints should be in writing, specific in their subject matter, and include facts to support allegations. The following are considered to constitute complaints to which a response is due:

- The administering agency has purportedly violated a provision of the Citizen Participation Plan;
- The administering agency has purportedly violated a provision of federal CDBG, ESG, HTF, or HOME program regulations; and
- The administering agency, or any of its contractors, has purportedly engaged in questionable practices resulting in waste, fraud or mismanagement of any program funds.

Residents may also present complaints and grievances orally or in writing at the community meetings and/or public hearing. All public comments, including complaints and grievances, made either orally or in writing within the 30-day public comment period, will be included in the final Consolidated Plan or Annual Action Plan. Such complaints or grievances for CDBG, HOME, HTF, or ESG shall be directed to the Consolidated Plan representative at the Community Services Division.

## TIMELY RESPONSE TO COMPLAINTS OR GRIEVANCES

Upon receipt of a written complaint, the designated representative at Community Services Division shall respond to the complainant within 15 calendar days and maintain a copy of all related correspondence, which will be subject to review.

Within 15 calendar days of receiving the complaint, the designated representative shall discuss the matter with the department manager and respond to the complainant in writing. A copy of the Community Services Division response will be transmitted, concurrently, to the complainant and to the division directors. If, due to unusual circumstances, the designated

representative finds that he/she is unable to meet the prescribed time limit, the limit may be extended by written notice to the complainant. The designated representative's notice must include the reason for the extension and the date on which a response is expected to be generated, which may be based on the nature and complexity of the complaint.

Public review materials and performance reports will include data, as appropriate under confidentiality regulations, on any written complaints received and how each was resolved.

#### ACTIVITIES EXEMPT FROM SUBSTANTIAL AMENDMENT CITIZEN PARTICIPATION REQUIREMENTS

##### URGENT NEEDS

It may be necessary to amend the Consolidated Plan or Annual Action Plan in the event of an emergency such as a natural disaster. These amendments may include funding new activities and/or the reprogramming of funds including canceling activities to meet community development needs that have a particular urgency. Therefore the State of North Dakota may utilize its HOME or CDBG funds to meet an urgent need without the normal public comment period, which is otherwise required for substantial amendments.

To comply with the national objective of meeting community development needs having a particular urgency, an activity will alleviate existing conditions that the State of North Dakota certifies:

- Pose a serious and immediate threat to the health and welfare of the community;
- Are of recent origin or recently became urgent;
- The state is unable to finance the activity on its own; or
- Other resources of funding are not available to carry out the activity.

A condition will generally be considered to be of recent origin if it is developed or became critical within 18 months preceding the Community Services Division's certification.

##### AVAILABILITY OF THE CITIZEN PARTICIPATION PLAN (CPP)

Citizens and groups may obtain a reasonable number of free copies of the proposed CPP by contacting the Department of Commerce's Section 504 Coordinator, Adele Sigl via mail at [dcs@nd.gov](mailto:dcs@nd.gov) or by calling 701-328-5300, TTY: 800-366-6888, Spanish TTY: 800-435-8590 or Relay ND Voice: 800-366-6889.

# PR 28 - PER Financial Summary 2015

IDIS - PR28

U.S. Department of Housing and Urban Development  
 Office of Community Planning and Development  
 Integrated Disbursement and Information System  
 State of North Dakota  
 Performance and Evaluation Report  
 For Grant Year 2015  
 As of 07/07/2016  
 Grant Number B15DC380001

07-07-16  
 16:19  
 1

Part I: Financial Status

A. Sources of State CDBG Funds

1) State Allocation	\$3,609,246.00
2) Program Income	
3) Program income received in IDIS	\$1,068,211.54
3 a) Program income received from Section 108 Projects (for SI type)	\$0.00
4) Adjustment to compute total program income	
5) Total program income (sum of lines 3 and 4)	\$1,068,211.54
6) Section 108 Loan Funds	
7) Total State CDBG Resources (sum of lines 1,5 and 6)	\$4,677,457.54

B. State CDBG Resources by Use

8) State Allocation	
9) Obligated to recipients	\$1,845,930.47
10) Adjustment to compute total obligated to recipients	
11) Total obligated to recipients (sum of lines 9 and 10)	\$1,845,930.47
12) Set aside for State Administration	\$172,184.00
13) Adjustment to compute total set aside for State Administration	
14) Total set aside for State Administration (sum of lines 12 and 13)	\$172,184.00
15) Set aside for Technical Assistance	\$36,092.00
16) Adjustment to compute total set aside for Technical Assistance	
17) Total set aside for Technical Assistance (sum of lines 15 and 16)	\$36,092.00
18) State funds set aside for State Administration match	
19) Program Income	
20) Returned to the state and redistributed	\$0.00
20 a) Section 108 program income expended for the Section 108 repayment	

21)	Adjustment to compute total redistributed	
22)	Total redistributed (sum of lines 20 and 21)	\$0.00
23)	Returned to the state and not yet redistributed	\$1,068,211.54
23 a)	Section 108 program income not yet disbursed	\$0.00
24)	Adjustment to compute total not yet redistributed	
25)	Total not yet redistributed (sum of lines 23 and 24)	\$1,068,211.54
26)	Retained by recipients	\$0.00
27)	Adjustment to compute total retained	
28)	Total retained (sum of lines 26 and 27)	\$0.00
C. Expenditures of State CDBG Resources		
29)	Drawn for State Administration	\$65,712.34
30)	Adjustment to amount drawn for State Administration	
31)	Total drawn for State Administration	\$65,712.34
32)	Drawn for Technical Assistance	\$0.00
33)	Adjustment to amount drawn for Technical Assistance	
34)	Total drawn for Technical Assistance	\$0.00
		<i>-\$36,092.00</i>
35)	Drawn for Section 108 Repayments	\$0.00
36)	Adjustment to amount drawn for Section 108 Repayments	
37)	Total drawn for Section 108 Repayments	\$0.00
38)	Drawn for all other activities	\$1,095,116.87
39)	Adjustment to amount drawn for all other activities	
40)	Total drawn for all other activities	\$1,095,116.87
D. Compliance with Public Service (PS) Cap		
41)	Disbursed in IDIS for PS	\$0.00
42)	Adjustment to compute total disbursed for PS	
43)	Total disbursed for PS (sum of lines 41 and 42)	\$0.00
44)	Amount subject to PS cap	
45)	State Allocation (line 1)	\$3,609,246.00
46)	Program Income Received (line 5)	\$1,068,211.54
47)	Adjustment to compute total subject to PS cap	
48)	Total subject to PS cap (sum of lines 45-47)	\$4,677,457.54
49)	Percent of funds disbursed to date for PS (line 43 / line 48)	0.00%
E. Compliance with Planning and Administration (P/A) Cap		
50)	Disbursed in IDIS for P/A from all fund types - Combined	\$82,978.67

51)	Adjustment to compute total disbursed for P/A	
52)	Total disbursed for P/A (sum of lines 50 and 51)	\$82,978.67
53)	Amount subject to Combined Expenditure P/A cap	
54)	State Allocation (line 1)	\$3,609,246.00
55)	Program Income Received (line 5)	\$1,068,211.54
56)	Adjustment to compute total subject to P/A cap	
57)	Total subject to P/A cap (sum of lines 54-56)	\$4,677,457.54
58)	Percent of funds disbursed to date for P/A (line 52 / line 57) Combined Cap	1.77%
59)	Disbursed in IDIS for P/A from Annual Grant Only	\$564.05
60)	Amount subject the Annual Grant P/A cap	
61)	State Allocation	\$3,609,246.00
62)	Percent of funds disbursed to date for P/A (line 59 / line 61) Annual Grant Cap	0.02%

Part II: Compliance with Overall Low and Moderate Income Benefit

- 63) Period specified for benefit: grant years \_\_\_\_\_
- 64) Final PER for compliance with the overall benefit test: [ \_\_\_\_\_ ]

No data returned for this view. This might be because the applied filter excludes all data.

# PR91 - ESG Financial Summary

## ESG Program Level Summary

Grant Number	Total Grant Amount	Total Funds Committed	Total Funds Available to Commit	% of Grant Funds Not Committed	Grant Funds Drawn	% of Grant Funds Drawn	Available to Draw	% Remaining to Draw
E15DC38000	\$445,841.0	\$445,841.0	\$0.00	0.00%	\$445,841.	100.00%	\$0.00	0.00%

## ESG Program Components

Activity Type	Total Committed to Activities	% of Grant Committed	Drawn Amount	% of Grant Drawn
Street Outreach	\$0.00	0.00%	\$0.00	0.00%
Shelter	\$246,760.00	55.35%	\$246,760.00	55.35%
Homeless Prevention	\$107,010.63	24.00%	\$107,010.63	24.00%
Rapid Re-Housing	\$45,822.00	10.28%	\$45,822.00	10.28%
Data Collection (HMIS)	\$12,810.30	2.87%	\$12,810.30	2.87%
Administration	\$33,438.07	7.50%	\$33,438.07	7.50%
Funds Not Committed	\$0.00	0.00%	\$0.00	0.00%
Funds Remaining to Draw	\$0.00	0.00%	\$0.00	0.00%
<b>Total</b>	<b>\$445,841.00</b>	<b>100.00%</b>	<b>\$445,841.00</b>	<b>100.00%</b>



## 24-Month Grant Expenditure Deadline

All of the recipient's grant must be expended for eligible activity costs within 24 months after the date HUD signs the grant agreement with the recipient. Expenditure means either an actual cash disbursement for a direct charge for a good or service or an indirect cost or the accrual of a direct charge for a good or service or an indirect cost. This report uses draws in IDIS to measure expenditures. HUD allocated Fiscal Year 2011 ESG funds in two allocations. For FY2011, this Obligation Date is the date of the first allocation. This report does not list the Obligation Date, does not calculate the Expenditure Deadline, and does not track the Days Remaining for the FY 2011 second allocation.

**Grant Amount:** \$445,841.00

Grant Number	Draws to Date	HUD Obligation Date	Expenditure Deadline	Days Remaining to Meet Requirement Date	Expenditures Required
E15DC380001	\$445,841.00	06/24/2015	06/24/2017	297	\$0.00

## 60% Cap on Emergency Shelter and Street Outreach

The cap refers to the total amount of the recipient's fiscal year grant, allowed for emergency shelter and street outreach activities, is capped at 60 percent. This amount cannot exceed the greater of: (1) 60% of the overall grant for the year; or, (2) the amount of Fiscal Year 2010 ESG funds committed for homeless assistance activities.

Amount Committed to Shelter	Amount Committed to Street Outreach	Total Amount Committed to Shelter and Street Outreach	% Committed to Shelter and Street Outreach	2010 Funds Committed to Homeless Assistance Activities	Total Drawn for Shelter and Street Outreach	% Drawn for Shelter and Street Outreach
\$246,760.00	\$0.00	\$246,760.00	55.35%	\$200,847.36	\$246,760.00	55.35%

## ESG Draws By Month (at the total grant level):

**Grant Amount:** 445,841.00

No data returned for this view. This might be because the applied filter excludes all data.

## ESG Draws By Quarter (at the total grant level):

No data returned for this view. This might be because the applied filter excludes all data.

**ESG Subrecipient Commitments and Draws by Activity Category :**

Subrecipient	Activity Type	Committed	Drawn
NORTH DAKOTA	Administration	\$33,438.07	\$33,438.07
	Total	\$33,438.07	\$33,438.07
	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%
RUTH MEIERS HOSPITALITY HOUSE	Shelter	\$20,000.00	\$20,000.00
	Rapid Re-Housing	\$2,000.00	\$2,000.00
	Total	\$22,000.00	\$22,000.00
	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%
SOUTHEASTERN NORTH DAKOTA COMMUNITY ACTION	Homeless Prevention	\$14,500.00	\$14,500.00
	Rapid Re-Housing	\$2,500.00	\$2,500.00
	Total	\$17,000.00	\$17,000.00
	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%
COMMUNITY ACTION PROG. REG VII	Homeless Prevention	\$14,300.00	\$14,300.00
	Total	\$14,300.00	\$14,300.00
	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%
RED RIVER COMMUNITY ACTION (GRAND FORKS CAA)	Shelter	\$5,060.00	\$5,060.00
	Homeless Prevention	\$12,896.93	\$12,896.93
	Rapid Re-Housing	\$10,341.00	\$10,341.00
	Data Collection (HMIS)	\$0.00	\$0.00
	Total	\$28,297.93	\$28,297.93
	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%
ABUSED ADULT RESOURCE CENTER	Shelter	\$7,000.00	\$7,000.00
	Homeless Prevention	\$3,000.00	\$3,000.00
	Rapid Re-Housing	\$5,000.00	\$5,000.00
	Total	\$15,000.00	\$15,000.00
	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%
COMMUNITY VIOLENCE INTERVENTION	Shelter	\$15,000.00	\$15,000.00
	Total	\$15,000.00	\$15,000.00
	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%
	Shelter	\$4,600.00	\$4,600.00

DOMESTIC VIOLENCE & ABUS CENTER	Total	\$4,600.00	\$4,600.00
	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%
DOMESTIC VIOLENCE CRISIS CENTER, INC	Shelter	\$23,000.00	\$23,000.00

Subrecipient	Activity Type	Committed	Drawn
DOMESTIC VIOLENCE CRISIS CENTER, INC	Total	\$23,000.00	\$23,000.00
	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%
FAMILY CRISIS SHELTER, INC	Shelter	\$11,500.00	\$11,500.00
	Total	\$11,500.00	\$11,500.00
	Total Remaining to be Drawn		\$0.00
GLADYS RAY SHELTER - CITY OF FARGO	Shelter	\$20,700.00	\$20,700.00
	Rapid Re-Housing	\$5,000.00	\$5,000.00
	Total	\$25,700.00	\$25,700.00
NEW LIFE CENTER	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%
	Shelter	\$20,000.00	\$20,000.00
WELCOME HOUSE	Total	\$20,000.00	\$20,000.00
	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%
WOMEN'S ACTION AND RESOURCE CENTER	Shelter	\$13,000.00	\$13,000.00
	Rapid Re-Housing	\$10,000.00	\$10,000.00
	Data Collection (HMIS)	\$3,000.00	\$3,000.00
YOUTHWORKS	Total	\$26,000.00	\$26,000.00
	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%
YWCA CASS CLAY	Shelter	\$6,500.00	\$6,500.00
	Total	\$6,500.00	\$6,500.00
	Total Remaining to be Drawn		\$0.00
DOMESTIC VIOLENCE & RAPE CRISIS CENTER, INC	Percentage Remaining to be Drawn		0.00%
	Shelter	\$27,971.41	\$27,971.41
	Total	\$27,971.41	\$27,971.41
ST. JOSEPH'S SOCIAL CARE	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%
	Shelter	\$15,000.00	\$15,000.00
DOMESTIC VIOLENCE & RAPE CRISIS CENTER, INC	Total	\$15,000.00	\$15,000.00
	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%
ST. JOSEPH'S SOCIAL CARE	Shelter	\$6,900.00	\$6,900.00
	Total	\$6,900.00	\$6,900.00
	Total Remaining to be Drawn		\$0.00
ST. JOSEPH'S SOCIAL CARE	Percentage Remaining to be Drawn		0.00%
	Shelter	\$2,000.00	\$2,000.00
	Homeless Prevention	\$18,233.70	\$18,233.70
ST. JOSEPH'S SOCIAL CARE	Rapid Re-Housing	\$3,231.00	\$3,231.00

CAPER

Subrecipient	Activity Type	Committed	Drawn	
ST. JOSEPH'S SOCIAL CARE	Data Collection (HMIS)	\$985.30	\$985.30	
	Total	\$24,450.00	\$24,450.00	
	Total Remaining to be Drawn		\$0.00	
	Percentage Remaining to be Drawn		0.00%	
SAFE ALTERNATIVES FOR ABUSED FAMILIES	Shelter	\$7,500.00	\$7,500.00	
	Rapid Re-Housing	\$2,750.00	\$2,750.00	
	Total	\$10,250.00	\$10,250.00	
	Total Remaining to be Drawn		\$0.00	
SAFE ALTERNATIVES FOR ABUSED FAMILIES	Percentage Remaining to be Drawn		0.00%	
	SHELTER FOR HOMELESS, INC	Shelter	\$13,500.00	\$13,500.00
		Total	\$13,500.00	\$13,500.00
		Total Remaining to be Drawn		\$0.00
Percentage Remaining to be Drawn			0.00%	
SALVATION ARMY - BISMARCK	Homeless Prevention	\$13,000.00	\$13,000.00	
	Rapid Re-Housing	\$3,000.00	\$3,000.00	
	Total	\$16,000.00	\$16,000.00	
	Total Remaining to be Drawn		\$0.00	
SALVATION ARMY - BISMARCK	Percentage Remaining to be Drawn		0.00%	
	SALVATION ARMY - JAMESTOWN	Shelter	\$2,000.00	\$2,000.00
		Homeless Prevention	\$10,580.00	\$10,580.00
		Total	\$12,580.00	\$12,580.00
Total Remaining to be Drawn			\$0.00	
SALVATION ARMY - JAMESTOWN	Percentage Remaining to be Drawn		0.00%	
	SALVATION ARMY - GRAND FORKS	Homeless Prevention	\$6,200.00	\$6,200.00
		Rapid Re-Housing	\$2,000.00	\$2,000.00
		Data Collection (HMIS)	\$0.00	\$0.00
Total		\$8,200.00	\$8,200.00	
SALVATION ARMY - GRAND FORKS	Total Remaining to be Drawn		\$0.00	
	Percentage Remaining to be Drawn		0.00%	
	Aid, Inc.	Homeless Prevention	\$14,300.00	\$14,300.00
		Total	\$14,300.00	\$14,300.00
Total Remaining to be Drawn			\$0.00	
Percentage Remaining to be Drawn			0.00%	
Fraser, Ltd.	Data Collection (HMIS)	\$75.00	\$75.00	
	Total	\$75.00	\$75.00	
	Total Remaining to be Drawn		\$0.00	
	Percentage Remaining to be Drawn		0.00%	
NDCHP	Data Collection (HMIS)	\$8,750.00	\$8,750.00	
	Total	\$8,750.00	\$8,750.00	
	Total Remaining to be Drawn		\$0.00	
	Percentage Remaining to be Drawn	CAPER	0.00%	

<b>Subrecipient</b>	<b>Activity Type</b>	<b>Committed</b>	<b>Drawn</b>
Minot Area Men's Winter Refuge	Shelter	\$5,528.59	\$5,528.59
	Total	\$5,528.59	\$5,528.59
	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%
Salvation Army - Williston	Shelter	\$20,000.00	\$20,000.00
	Total	\$20,000.00	\$20,000.00
	Total Remaining to be Drawn		\$0.00
	Percentage Remaining to be Drawn		0.00%

## ESG Subrecipients by Activity Category

Activity Type	Subrecipient
Shelter	RUTH MEIERS HOSPITALITY HOUSE
	RED RIVER COMMUNITY ACTION (GRAND FORKS CAA)
	ABUSED ADULT RESOURCE CENTER
	COMMUNITY VIOLENCE INTERVENTION
	DOMESTIC VIOLENCE & ABUS CENTER
	DOMESTIC VIOLENCE CRISIS CENTER, INC
	FAMILY CRISIS SHELTER, INC
	GLADYS RAY SHELTER - CITY OF FARGO
	NEW LIFE CENTER
	WELCOME HOUSE
	WOMEN'S ACTION AND RESOURCE CENTER
	YOUTHWORKS
	YWCA CASS CLAY
	DOMESTIC VIOLENCE & RAPE CRISIS CENTER, INC
	ST. JOSEPH'S SOCIAL CARE
	SAFE ALTERNATIVES FOR ABUSED FAMILIES
	SHELTER FOR HOMELESS, INC
	SALVATION ARMY - JAMESTOWN
Minot Area Men's Winter Refuge	
Salvation Army - Williston	
Homeless Prevention	SOUTHEASTERN NORTH DAKOTA COMMUNITY ACTION
	COMMUNITY ACTION PROG. REG VII
	RED RIVER COMMUNITY ACTION (GRAND FORKS CAA)
	ABUSED ADULT RESOURCE CENTER
	ST. JOSEPH'S SOCIAL CARE
	SALVATION ARMY - BISMARCK
	SALVATION ARMY - JAMESTOWN
	SALVATION ARMY - GRAND FORKS
Aid, Inc.	
Rapid Re-Housing	RUTH MEIERS HOSPITALITY HOUSE
	SOUTHEASTERN NORTH DAKOTA COMMUNITY ACTION
	RED RIVER COMMUNITY ACTION (GRAND FORKS CAA)
	ABUSED ADULT RESOURCE CENTER
	GLADYS RAY SHELTER - CITY OF FARGO
	WELCOME HOUSE
	ST. JOSEPH'S SOCIAL CARE
	SAFE ALTERNATIVES FOR ABUSED FAMILIES
SALVATION ARMY - BISMARCK	

Activity Type	Subrecipient
Rapid Re-Housing	SALVATION ARMY - GRAND FORKS
Data Collection (HMIS)	RED RIVER COMMUNITY ACTION (GRAND FORKS CAA)
	WELCOME HOUSE
	ST. JOSEPH'S SOCIAL CARE
	SALVATION ARMY - GRAND FORKS
	Fraser, Ltd.
	NDCHP
Administration	NORTH DAKOTA

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